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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/798,549 | 03/10/2004 | Dang Duan | GC791-3 |

RICHARD T. ITO
 GENENCOR INTERNATIONAL, INC.
 925 PAGE MILL ROAD
 PALO ALTO, CA 94304-1013

CONFIRMATION NO. 6389

FORMALITIES LETTER



OC000000012810868

Date Mailed: 05/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

10/22/2004 WASFAW1 00000024 071048 10798549

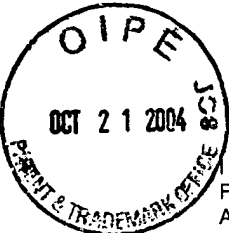
01 FC:1051 130.00 DA

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Date: October 18, 2004

By: *Carol A. See*
Carol A. See

PATENT
Docket No. GC791-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|---|---------------------------|
| In re Application of |) | |
| |) | |
| Duan G. et al. |) | Group Art Unit: 1632 |
| |) | |
| Serial No.: 10/798,549 |) | Confirmation Number: 6389 |
| |) | |
| Filed: March 10, 2004 |) | |
| |) | |
| For: GRAIN COMPOSITION CONTAINING PREBIOTIC OLIGOSACCHARIDES | | |
| AND METHODS OF MAKING AND USING SAME | | |

TRANSMITTAL LETTER FOR NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION

MS MISSING PARTS
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application dated May 28, 2004, enclosed please find:

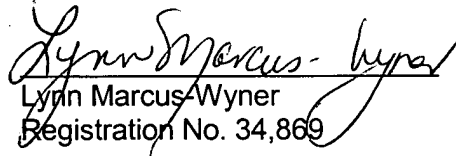
- ☒ Four Combined Declarations and Powers of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☒ Petition for a Three-Month Extension of Time and fee of \$980.00;
- ☒ Two Assignment documents, Forms PTO-1595, and the \$80.00 Assignment Recording Fee in duplicate;
- ☐ Preliminary Amendment
- ☐ Paper copy of Sequence Listing (140 pages)
- ☐ CD Rom Diskette copy of Sequence Listing

- ☐ [] Notice to Comply with Requirements for Patent Applications
Containing Nucleotide Sequence and/or Amino Acid Sequence
Disclosures (copy of Notice to be returned with response);
- ☒ [X] Form PTO-1533 (copy of Notice to be returned with response); and
- ☒ [X] Other: Declaration of Sridevi Vadakoot, legal representative of
Julius Vadakoot.
- ☒ [X] Charge \$1,190.00 to Deposit Account No. 07-1048.

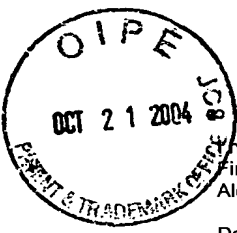
The Commissioner is hereby authorized to charge any fees under 37 C.F.R.
§§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any
overpayment, to Deposit Account No. 07-1048 (Docket No. GC791-3). A duplicate
of this paper is enclosed.

Respectfully submitted,

Date: October 18, 2004


Lynn Marcus-Wyner
Registration No. 34,869

Genencor International, Inc.
925 Page Mill Road
Palo Alto, CA 94304-1013
Tel: 650-846-7620
Fax: 650-845-6504



hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

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| For: GRAIN COMPOSITION CONTAINING PREBIOTIC OLIGOSACCHARIDES AND METHODS OF MAKING AND USING SAME | | |

DECLARATION OF SRIDEVI VADAKOOT

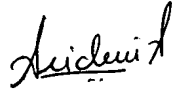
MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Sridevi Vadakoot, am the widow of Julius Vadakoot, who was a co-inventor on the above-identified patent application. In my capacity as legal representative, I believe I have authority to sign papers, to be submitted to the United States Patent and Trademark, which would have been executed by my late husband. My current address is:

Sridevi Vadakoot
A-61 Sai Apartments
Bon-Bon Lane, off J.P. Road
7-Bungalows
Andheri (W)
Mumbai 400 053
India

Date: September 24, 2004



Sridevi Vadakoot

WITNESSED BY:
Signature: _____

Printed Name of Witness Mrs VASANTHA RAVINDRAN